

## To the left of the sphere spectrum<sup>1</sup>

### §1. BIVARIANT THEORIES & CORRESPONDENCES

**1.1** Let  $E \rightarrow F$  be a morphism of nice (eg symmetric) commutative ring spectra.

**Definition** The (enriched) category  $\text{Corr}_E$  of  $E$ -correspondences has finite CW-spectra  $X, Y$  as objects, with morphisms

$$[X, Y]_E := X^D \wedge Y \wedge E \sim [X, Y \wedge E];$$

where  $X^D = [X, S]$  is the Spanier-Whitehead dual. If  $f \in [X, Y]_E$  and  $g \in [Y, Z]_E$  then

$$g \circ f : X \rightarrow Y \wedge E \rightarrow Z \wedge E \wedge E \rightarrow Z \wedge E.$$

is the composition  $(1 \wedge m_E) \cdot (g \wedge 1_E) \cdot f$ . This is a symmetric monoidal category, with a concretification enriched over graded abelian groups defined by taking homotopy groups of its morphism spectra. The functor which is the identity on objects, and the obvious map

$$[X, Y \wedge E] \rightarrow [X, Y \wedge F]$$

on morphisms, preserves the monoidal structure.

[[On the trip up the Rhine K. Hess explained to me that these are Kleisli categories (as described in MacLane's category textbook; she credits HRM with emphasizing their interest.)]

**1.2** This is close to, but not quite the same as, the classical definition, which starts with something like compact closed oriented manifolds as objects. The graph of a map  $f : X \rightarrow Y$  defines a class

$$(\text{graph of } f)_!(1) \in H^{\dim Y}(X \times Y, k) \cong H_*(X) \otimes H^*(Y) \cong [X, Y \wedge H(k)]$$

by Poincaré duality (taking  $k$  to be a field).

This construction encodes extra data (eg orientations) in a homotopy-theoretic framework; it also fits nicely with duality. There are **many** variations:

- Restrict to a subclass of morphisms (eg algebraic maps)
- Invert objects, add kernels to projections, ...

More generally, any suitable **bivariant** theory suggests an associated category of correspondences; cf early work of Goresky-McPherson based on algebraic

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<sup>1</sup>Based on recent (last 2-3 years) conversations with HRM, but with roots going back to conversations of us both with John Moore, forty years ago. Maybe some old wine in a spiffy new plastic bottle ...

K-theory; more recently Connes, Consani, and Marcolli use Kasparov's  $KK$ -theory to study noncommutative motives. I've been inspired by work of Bruce Williams (on  $A$ -theory) and Dundas-Østvær (on  $TC$ ).

## §2 CHANGE OF RINGS

**2.1** Tannakian theory studies the automorphism group of the monoidal functor

$$X \mapsto X_F : \text{Corr}_E \rightarrow \text{Corr}_F ,$$

(or rather of its concretification), by trying to represent the functor defined by varying  $F$  through ring spectra flat above it. There is a natural homotopy-theoretic candidate for such a representing object, given by the comonoid

$$(\overline{F}, \overline{F} \wedge_E \overline{F})$$

in ring spectra; where

$$E \rightarrow \overline{F} \rightarrow F$$

(thanks to JHS) is a factorization through a cofibration (ie, some kind of Adams/bar/cobar construction) followed by a weak equivalence. This is an analog of the Hopf algebroids in Adams' blue book; comultiplication, for example, is the composition

$$\begin{aligned} \overline{F} \wedge_E \overline{F} &\rightarrow \overline{F} \wedge_E E \wedge_E \overline{F} \rightarrow \overline{F} \wedge_E \overline{F} \wedge_E \overline{F} \\ &= (\overline{F} \wedge_E \overline{F}) \wedge_{\overline{F}} (\overline{F} \wedge_E \overline{F}) . \end{aligned}$$

When  $E = S$  and  $F = MU$  this is classical, but I want to focus on cases at the opposite extreme: Waldhausen  $A$ -theory (equivalently: the  $K$ -theory of the sphere spectrum)

$$A = S \vee \text{Wh} \rightarrow S$$

(Wh is Waldhausen's Whitehead spectrum) and the topological cyclic homology

$$TC = S \vee \Sigma \mathbb{C}P_{-1}^\infty \rightarrow S$$

of  $S$  (up to a profinite completion).

**2.2** The Tannakian principle that a functor takes values in a category of representations of its own automorphism group implies very generally that there is a lift

$$\begin{array}{ccc} & & (\overline{F} \wedge_E \overline{F} - \text{Comod in } \text{Corr}_F) \\ & \nearrow & \downarrow \\ \text{Corr}_E & \longrightarrow & \text{Corr}_F . \end{array}$$

This uses the ring homomorphism

$$E \wedge_S E \rightarrow \overline{F} \wedge_E \overline{F}$$

to define the composition

$$\begin{aligned} [X, Y \wedge E] &\rightarrow [X, Y \wedge E \wedge E] = [X, Y \wedge E] \wedge_E (E \wedge E) \rightarrow \\ &\rightarrow [X, Y \wedge \bar{F}] \wedge_{\bar{F}} (\bar{F} \wedge_E \bar{F}) \rightarrow [X, Y \wedge F] \wedge_{\bar{F}} (\bar{F} \wedge_E \bar{F}) . \end{aligned}$$

In interesting cases this leads to a ‘descent’ spectral sequence

$$\mathrm{RHom}_{\bar{F} \wedge_E \wedge \bar{F}\text{-Comod}}(X_{\bar{F}}, Y_{\bar{F}}) \Rightarrow [X, Y]_E ,$$

with Hess-style coinvariants [cf also Rognes] of a suitable cofibrant replacement for  $X^D \wedge Y \wedge F$  on the left.

[[Thanks to HRM and B. Richter for pointing out that Rognes’ Hopf-Galois objects are ring spectra with  $E_\infty$  coproduct. This issue needs much more attention in my fantasies.]]

**2.3** Here’s a classical example:

Homology with  $\mathbb{F}_p$  coefficients is a monoidal homological functor from the tensor triangulated category  $D(\mathbb{Z}_p\text{-Mod})$  to graded vector spaces. The Bockstein operation

$$\beta : H_*(-, \mathbb{F}_p) \rightarrow H_{*+1}(-, \mathbb{F}_p)$$

defines a coaction of the elementary Hopf algebra  $E(\beta)$ , so we can describe the mod  $p$  homology as a representation of a super-groupscheme  $\mathrm{Spec}(E(\beta)) \rtimes \hat{\mathbb{G}}_m$ , and there is an associated ‘descent’ spectral sequence

$$\mathrm{RHom}_{E(\beta)\text{-Comod}}(H_*(X, \mathbb{F}_p), H_*(Y, \mathbb{F}_p)) \Rightarrow \mathrm{RHom}_{D(\mathbb{Z}_p)}(X, Y) .$$

There is a similar story for more general local rings  $A \rightarrow k$ , going back to Tate in the 50’s, with Hopf algebra

$$\mathrm{Tor}_A^*(k, k) = k \otimes_A^L k$$

generalizing  $E(\beta) = \mathrm{Tor}_{\mathbb{Z}_p}^*(\mathbb{F}_p, \mathbb{F}_p)$ . In the equi-characteristic case, when  $A = k \oplus I$ , this Tor can be calculated as the homology of a bar construction; for a square-zero extension it’s the cotensor algebra on  $I$ , suitably graded. A similar but dual calculation identifies  $\mathrm{Ext}_A^*(k, k)$  as a tensor algebra on the dual of  $I$ .

### §3 WHAT GOOD IS THIS?

To explain what all this might be used for requires some digressions, which unfortunately run in opposite directions:

**3.1** The first comes the **arithmetic** theory of motives. **Geometric** motives start with projective (complete) varieties over a nice field  $k$ , with morphisms defined by correspondences coming from algebraic cycles; the Hom objects are suitable quotients of

$$\mathrm{gr}^* K^{\mathrm{alg}}(X \times Y) \otimes \mathbb{Q} .$$

One constructs from this a **semisimple**  $\mathbb{Q}$ -linear abelian tensor category of **pure** motives, equivalent to the category of representations of some motivic group-scheme.

There is a generalization to a category of **mixed** motives, built from **quasi**-projective varieties (roughly,  $X - Y$  for  $X, Y$  complete). This is a nice category, but no longer semisimple: it has nontrivial extensions. For example, in this category projective space

$$\mathbb{P}^n = 1 \oplus L \oplus \dots \oplus L^{\otimes n}$$

decomposes into a sum of CW-cell analogs.

In the early 80's Deligne proposed the study of an abelian category of arithmetic or **mixed Tate** motives, associated to a very restricted class of geometric objects over  $\mathbb{Z}$ : iterated extensions of the  $L^{\otimes n} \sim \mathbb{Z}(n)$  as above. The existence of a conjectured spectral sequence

$$\mathrm{Ext}_{\mathbf{mtm}}^*(\mathbb{Z}(0), \mathbb{Z}(n)) \Rightarrow K_{2n-*}^{\mathrm{alg}}(\mathbb{Z}) \otimes \mathbb{Q}$$

was proved recently by Deligne and Goncharov.

[[These notes very sloppily confuse triangulated categories of motives with their (sometimes hypothetical) abelian hearts. Deligne's work sees the cohomology theories of arithmetic geometry ( $l$ -adic,  $p$ -adic, Archimedean ...) as analogs of the Euler factors of zeta-functions; Voevodsky, on the other hand, enlarges the field of play over a field by model and derived category techniques.]]

This seems strikingly like the Adams spectral sequence

$$\mathrm{Ext}_{\Psi^s}^*(K(S^0), K(S^n)) \Rightarrow \mathrm{Im} J_*$$

for  $K$ -theory: the target groups of the Deligne-Goncharov sseq are generated (via Borel regulators) by the zeta values  $\zeta(1 + 2k)$ , while  $J_{2k-1}$  is roughly the cyclic group

$$\langle \zeta(1 - 2k) \rangle \subset \mathbb{Q}/\mathbb{Z} .$$

It is tempting [JM, Newton §4.7] to interpret the Deligne-Goncharov theorem as a change-of-rings spectral sequence for

$$K^{\mathrm{alg}}(\mathbb{Z}) \otimes \mathbb{Q} \rightarrow K^{\mathrm{top}}(\mathbb{C}) \otimes \mathbb{Q} .$$

**3.2** The other direction of interest comes from differential topology (cf. Igusa's book, or the 06 Talbot workshop): the homotopy groups

$$\pi_{2i-1}(\mathrm{Diff}(D^{2n+1} \text{ rel } \partial)) \otimes \mathbb{Q} \cong K_{2i+1}^{\mathrm{alg}}(\mathbb{Z}) \otimes \mathbb{Q}$$

of the group of diffeomorphisms of a high odd-dimensional disk (fixing the boundary) are isomorphic (via higher Reidemeister torsion invariants **also** related to odd zeta-values) to the algebraic  $K$ -theory of the integers; more precisely,

$$B\mathrm{Diff}_c(\mathbb{R}^{\mathrm{odd}}) \sim \Omega\mathrm{Wh} .$$

One wonders what the algebraic  $K$ -theory of  $\mathbb{Z}$  could have to do with differential topology; Waldhausen's answer

$$K(\mathbb{Z}) \otimes \mathbb{Q} \sim K(S) \otimes \mathbb{Q}$$

is that rationally it's the same as the  $K$ -theory of the sphere spectrum.

Turning this around, one can ask if the category of mixed Tate motives might be detecting not the  $K$ -theory of the integers, but the  $K$ -theory of  $S$ . This suggests regarding (some version of) the category of  $A$ -correspondences as a category of **base** motives, characterized by a ring-change spectral sequence

$$\mathrm{RHom}_{\overline{S} \wedge_A \overline{S}\text{-Comod}}^*(S^0, S^n) \Rightarrow A_*(S^n)$$

associated to the Waldhausen-Bökstedt trace morphism  $A \rightarrow S$ . What's at issue seems not to be the existence of such a spectral sequence, but its relation, if any, to its purported arithmetic analog: that is, the existence of a functor assigning something like an underlying space to a mixed Tate motive.

[[This hypothetical correspondence would seem to associate to a stable disk bundle over the  $2i$ -sphere, something like the Thom complex of a vector bundle over the  $S^{2i+3}$ -sphere. Perhaps someone with more geometric smarts than me will be able to explain the anomalous factor of three ...]]

**3.3** Arguably the strongest algebraic evidence for such a connection comes from these categories' motivic groups. This becomes clearer if we work not with  $A$  but with the closely related topological cyclic homology of  $S$ . [There is a cofibration

$$j \vee \Sigma^{-2}kO \rightarrow \mathrm{Wh} \rightarrow \Sigma\mathbb{C}P_{-1}^\infty$$

at regular odd primes [Rognes].]

I can't say I know what to do about the negative-dimensional cell in the latter spectrum, but it seems plausible that **something like**

$$\overline{S} \wedge_{TC} \overline{S} \sim \text{cotensor algebra on } \Sigma\mathbb{C}P_{-1}^\infty$$

(and, correspondingly)

$$\mathrm{Hom}_{TC}(\overline{S}, \overline{S}) \sim \text{tensor algebra on } \Sigma\mathbb{C}P_{-1}^\infty$$

might be the case. The objects on the right are closely related to work of Baker and Richter, who show that the homology of the ring-spectrum  $S[\Omega\Sigma\mathbb{C}P_+^\infty]$  is the universal enveloping algebra of a graded free Lie algebra, dual to the algebra of quasi-symmetric functions.

Over  $\mathbb{Q}$ , this is about twice the size of the Hopf algebra of the pro-unipotent group Deligne associates to the category of mixed Tate motives; it's closer to the algebra appearing in the Connes-Kreimer-Marcolli theory of renormalization. The  $A$ -theoretic version has a Lie algebra closer to Deligne's, whose generators are expected to correspond somehow to the odd zeta-values.