



Horizon Europe (2021-2027) – Open Access Guidelines (V1.1, 13.04.2023)

With the Framework Programme Horizon Europe (2021-2027) funded project publications have to meet new Open Access requirements, as stated in Article 17 (see specific rules, Annex 5) of the **General Model Grant Agreement** (Version 1.1, 15.04.2022). The **Annotated Model Grant Agreement (AGA)** (Version 1.0 Draft, 01.04.2023, p. 278-285) provides further details on the Open Access requirements and additional explanatory notes.

“Open science: open access to scientific publications

The beneficiaries **must ensure open access to peer-reviewed scientific publications** relating to their results. In particular, they must ensure that:

- **at the latest at the time of publication**, a machine-readable electronic copy of the published version or the final peer-reviewed manuscript accepted for publication, is deposited in **a trusted repository for scientific publications**
- immediate open access is provided to the deposited publication via the repository, under the latest available version of the Creative Commons Attribution International Public Licence (**CC BY**) or a licence with equivalent rights; for monographs and other long-text formats, the licence may exclude commercial uses and derivative works (e.g. **CC BY-NC, CC BY-ND**) and
- information is given via the repository about any research output or any other tools and instruments needed to validate the conclusions of the scientific publication.

Beneficiaries (or authors) must **retain sufficient intellectual property rights** to comply with the open access requirements.

Metadata of deposited publications must be open under a Creative Common Public Domain Dedication (CC 0) or equivalent, in line with the FAIR principles (in particular machine-actionable)

[...] [for further details on the required metadata see p.111]

Only publication fees in full open access venues for peer-reviewed scientific publications are eligible for reimbursement.”

(European Commission. General Model Grant Agreement, 15. April 2022, p.110-111, emphasis added by CH.)



✓ The [ERC Open Science Website](#) provides further information, definitions of key concepts and a step-by-step guide on how to comply with the Horizon Europe Open Science requirements.

[Step-by-step](#)

In short

- **Immediate Open Access** must be provided to all peer-reviewed scientific publications relating to the project (this also applies to only partly funded research).
- **Method:** The final peer-reviewed manuscript accepted for publication or to the final published peer-reviewed version is deposited in a trusted repository for scientific publications, regardless of the initial publishing venue (closed, full open access or hybrid). Only exception: Open Access publication via [ORE Open Research Europe](#) > publication is automatically deposited in Zenodo
 - For further information on trusted repositories see [ERC Website](#) and [AGA](#).
 - [RUB-Repository](#) is a trusted institutional repository.
- A **CC-licence** is mandatory (articles: CC BY, books also: CC BY-NC, CC BY-ND or CC BY-NC-ND).
- **Costs:** Funds from ERC grants can be allocated for costs related to scientific publications, but **only publication costs in full open access venues are eligible for reimbursement** and printing fees for books are also not eligible for funding. Open Access costs should be estimated in advance of the funding application.
- Please check your own ERC agreement for further specifications!

Very useful tools

[Journal Checker Tool](#)

✓ check a journal's compliancy with Horizon Europe OA requirements

[DOAJ/DOAB](#)

✓ find suitable gold OA publishing venues (journals and books)

[Sherpa Romeo](#)

✓ check OA policies of a journal (or publisher)

[OAPEN](#)

✓ check a book publisher's compliancy with the ERC OA requirements

Need help?

Carla Hansmann
0234-32-25200

Kathrin Lucht-Roussel
0234-32-22053

oa@rub.de

[Open Access Website Bochum University Library](#)

Further reading

- [General Model Grant Agreement](#) (Version 1.1, 15.04.2022)
- [Annotated Model Grant Agreement](#) (Version 1.0 Draft, 01.04.2023)
- [ERC Open Science Website](#)
- [Programme Guide](#) (Version 2.0, 11.04.2022)

