

Sexual reproduction and mating-type-mediated strain development in the penicillin-producing fungus *Penicillium chrysogenum*

Julia Böhm^a, Birgit Hoff^{a,1}, Céline M. O’Gorman^{a,b}, Simon Wolfers^a, Volker Klix^c, Danielle Binger^{a,2}, Ivo Zadra^d, Hubert Kürsteiner^d, Stefanie Pöggeler^c, Paul S. Dyer^b, and Ulrich Kück^{a,3}

^aChristian Doppler Laboratory for “Fungal Biotechnology,” Lehrstuhl für Allgemeine und Molekulare Botanik, Ruhr-Universität Bochum, D-44780 Bochum, Germany; ^bSchool of Biology, University of Nottingham, University Park, Nottingham NG7 2RD, United Kingdom; ^cAbteilung Genetik Eukaryotischer Mikroorganismen, Institut für Mikrobiologie und Genetik, Georg-August Universität Göttingen, D-37077 Göttingen, Germany; and ^dAnti-Infectives Microbiology, Sandoz GmbH, 6250 Kundl, Austria

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Penicillium chrysogenum is a filamentous fungus of major medical and historical importance, being the original and present-day industrial source of the antibiotic penicillin. The species has been considered asexual for more than 100 y, and despite concerted efforts, it has not been possible to induce sexual reproduction, which has prevented sexual crosses being used for strain improvement. However, using knowledge of mating-type (*MAT*) gene organization, we now describe conditions under which a sexual cycle can be induced leading to production of meiotic ascospores. Evidence of recombination was obtained using both molecular and phenotypic markers. The identified heterothallic sexual cycle was used for strain development purposes, generating offspring with novel combinations of traits relevant to penicillin production. Furthermore, the *MAT1-1-1* mating-type gene, known primarily for a role in governing sexual identity, was also found to control transcription of a wide range of genes with biotechnological relevance including those regulating penicillin production, hyphal morphology, and conidial formation. These discoveries of a sexual cycle and *MAT* gene function are likely to be of broad relevance for manipulation of other asexual fungi of economic importance.

sexual recombination | secondary metabolism | ascomycete

Filamentous fungi are of great value to the pharmaceutical industry because of their extensive secondary metabolism (1). Examples of fungal products include statins from *Aspergillus terreus* and *Penicillium citrinum*, immunosuppressants from *Tolypocladium inflatum* and *Penicillium brevicompactum*, and antibiotics from *Acremonium chrysogenum* and *Penicillium chrysogenum*. Strain improvement programs generally use random mutagenesis and, more recently, recombinant technologies to generate improved derivatives (2). A common feature of most industrial filamentous fungi is that they lack a sexual cycle, which has prevented the generation of novel strains by sexual crossing. This method offers particular advantages because crosses can be set up between isolates with different desirable traits, and meiotic recombination occurs throughout the whole genome, potentially generating considerable genetic variation for screening purposes (2).

P. chrysogenum is the major industrial source of the beta-lactam antibiotic penicillin, which has annual worldwide sales of about US\$ 8 billion (3). Sir Alexander Fleming made the fortuitous discovery of penicillin as a result of a contaminant, *P. chrysogenum*, inhibiting growth of a bacterial culture. Fifteen years later, a higher-yielding strain, NRRL1951, was isolated at the US Department of Agriculture Northern Regional Research Laboratory (NRRL) in Peoria, Illinois, from a moldy cantaloupe, which generated sufficient amounts for the commercial production of penicillin (4). Since then, conventional mutagenesis programs have been used to develop strains with elevated penicillin titers. All *P. chrysogenum* production strains currently used worldwide are derivatives of NRRL1951 and show amplification of the genomic region encoding penicillin biosynthesis genes (5). Recent phylogenetic analyses have revealed that *P. chrysogenum*

sensu lato is composed of at least two distinct species, *Penicillium rubens* and *P. chrysogenum sensu stricto*, with Fleming’s strain and NRRL1951 reidentified as *P. rubens* (6, 7). However, for the purposes of this study, we refer to all isolates as *P. chrysogenum* given that this is a *nomen conservandum* (8).

P. chrysogenum is only known to reproduce by asexual means. However, accumulating evidence suggests that it might have the potential for sexual reproduction with an unidentified or “cryptic” sexual stage present (9). We recently discovered mating-type (*MAT*) and pheromone signaling genes in *P. chrysogenum* (10), which are involved with mating in other sexual fungi (11). For sex to occur in heterothallic (obligate outcrossing) ascomycete fungi, complementary *MAT1-1* and *MAT1-2* isolates must be present (11). Significantly, a *MAT1-1* locus, with a *MAT1-1-1* gene encoding a putative alpha-box transcription factor, is present in NRRL1951 and all its derivatives, whereas the original Fleming strain contains the opposite *MAT1-2* locus (10). In addition, recombination has been reported within natural populations of *P. chrysogenum* together with a near 1:1 distribution of *MAT1-1* and *MAT1-2* isolates (6), and there is evidence of repeat induced point mutation in the genome, a process associated with meiosis (12).

Recent findings suggest that sexual reproduction can be triggered in supposedly asexual fungi (13–16) if the correct growth conditions are identified (17, 18). The principle aim of the current study was therefore to determine whether a functional sexual cycle could be induced in *P. chrysogenum*, using knowledge of *MAT* gene organization in the species to set up directed crosses between known *MAT1-1* and *MAT1-2* isolates, and if the sexual cycle could be used for strain development purposes. We also investigated whether *MAT* genes, which are defined primarily by their role in governing sexual identity (11, 19), might have additional roles in regulating other developmental processes of biotechnological relevance.

Results

Induction of a Sexual Life Cycle in *P. chrysogenum*. Applying knowledge of *MAT* gene presence, we set up 24 crosses between *P. chrysogenum* strains of known *MAT1-1* and *MAT1-2* genotype. These strains were either wild type (from different geographic

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¹Present address: BASF SE, 67056 Ludwigshafen, Germany.

²Present address: BP BioFuels, Global Technology Center, San Diego, CA 92121.

³To whom correspondence should be addressed. E-mail: ulrich.kueck@rub.de.

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Penicillin Production Is Regulated by the *MAT1-1-1* Gene. We first assessed functionality of *MAT1-1-1* in penicillin production using a bioassay measuring clearing zone formation in bacterial lawns (Fig. 2A). Overexpression and complemented strains did not deviate significantly from the parental strains. However, both Δ *MAT1* mutants showed a significant reduction in penicillin biosynthesis throughout the time course compared with control strains (e.g., a 60% reduction at 72 h), although all strains exhibited similar mycelial dry weight production. The reduced penicillin titer was confirmed by HPLC analysis (Fig. S3A). That *MAT* genes can influence fungal secondary metabolite production is a previously undescribed finding of high industrial relevance because many other filamentous fungi are used as the sources of key natural products (1, 17).

***MAT1-1-1* Gene Controls Hyphal Morphology, Conidiation, and Pellet Formation.** We next assessed the effect of *MAT1-1-1* expression on hyphal morphology. This is an important industrial trait because fungi exhibit distinct morphologies in submerged culture depending on the extent of branching and/or elongation of hyphae. Freely dispersed hyphal suspensions can be formed that are highly viscous or hyphae may aggregate to form “pellets” with lower viscosity. Inspection of strains revealed important morphological differences when grown for 24–48 h on solid or in shaken liquid media (Fig. 2B and Fig. S3B). Conidia of the parental and complemented strains germinated mostly to yield one or two hyphae exhibiting dichotomous branching. By contrast, conidia of the overexpression strains exhibited long germinating hyphae without any terminal branching. Conidia of the Δ *MAT1* strains produced short hyphae with intensively branching tips, often with more than two emergent hyphae. These phenotypic differences were confirmed quantitatively (Fig. S3 C and D). Cultures were then grown from 72 to 192 h in shaken liquid culture, comparable to applied fermentation conditions. Phenotypic differences were even more pronounced, with gene deletion and overexpression strains producing significantly larger pellets than control strains (Fig. 2B and Fig. S3E). Thus, these previously undescribed results demonstrated that *MAT* genes can influence the morphology and polarity of germinating hyphae.

The influence of *MAT1-1-1* expression on conidial formation was also investigated. There were clear differences in sporulation

between parental and deletion strains when plated on solid media. An approximate 25% increase in sporulation was seen in both Δ *MAT1* strains relative to other strains when grown in the light (Fig. 2C). Again, this is a unique report of *MAT* genes influencing asexual sporulation in fungi.

Microarray Time-Course Analysis of *MAT1-1-1* Regulated Gene Expression.

To understand the molecular basis for the observed phenotypes, a microarray time-course experiment was performed to investigate *MAT1-1-1* dependent transcriptional regulation further, comparing expression of the Δ *MAT1* mutant relative to the Δ Pcku70 parent up to 96 h growth. A total of 2,421 genes showed differential regulation over this period, as defined by a threshold of at least twofold change in expression levels (Fig. 3A). Between 23 and 30 genes of mostly unknown function were down- or up-regulated, respectively, at all time points (Table S2). Consistent with the data above, genes related to conidiation and morphology, (e.g., *Pcbr1A*, *PcdewA*, *PcdewB*) were down-regulated in the Δ *MAT1* strain (Table S2). The three penicillin biosynthesis genes (*pcbAB*, *pcbC*, *penDE*) were also down-regulated at 60 and 96 h; this result was confirmed by quantitative real-time PCR (qRT-PCR) analysis (Fig. 3B). Other microarray studies have also demonstrated that *MAT* genes have a wide-ranging effect on fungal gene expression (26, 29–31).

Functionality of the *P. chrysogenum* Pheromone and Pheromone Receptor Genes.

The microarray analysis also revealed that three elements of a putative pheromone signaling pathway were expressed, comprising a previously identified pheromone precursor (*Pcpgp1*) and two pheromone receptor (*Pcppe1*, *Pcppe2*) genes (10) (Fig. S4). We therefore examined their functionality using yeast bioassays. Successful pheromone binding and signaling would be expected to result in cell cycle arrest and change in cell morphology and lead to formation of a halo in lawns of *Saccharomyces cerevisiae* (32–34). On the basis of similarity to the *S. cerevisiae* MF α proteins, the *P. chrysogenum* *Pcpgp1* gene was predicted to produce a decapeptide pheromone of sequence KWCGHIGQGC, expected to bind to the cognate PcPRE2 receptor protein. And indeed, *S. cerevisiae* wild-type cells (ScSTE2p) or yeasts heterologously expressing PcPRE2 exhibited polarized growth, leading to pear-shaped forms (shmoos) of unconjugated haploid cells, in

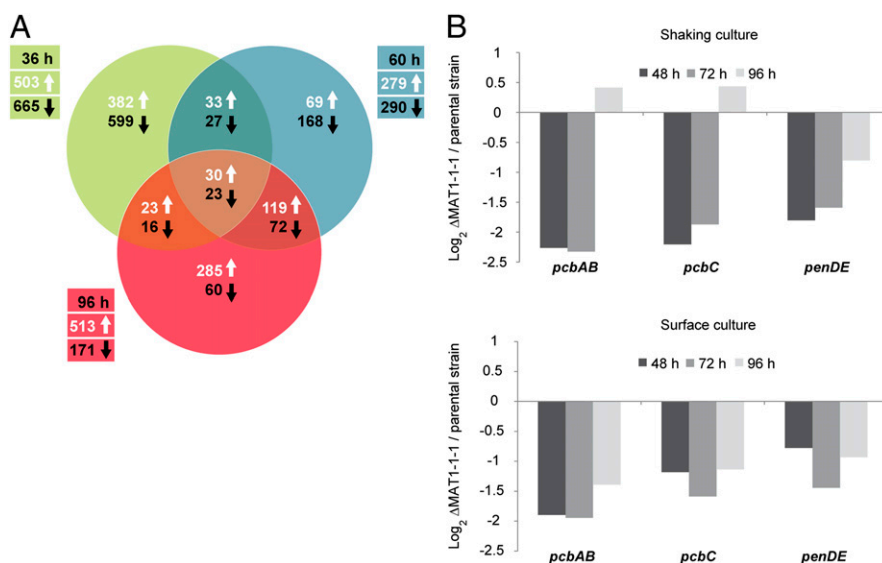


Fig. 3. *MAT1-1-1* dependent transcriptional regulation. (A) Venn diagram of differentially regulated genes in the Δ *MAT1-1-1* EK5 strain. For array analysis, mRNA was used from cultures grown for 36, 60, and 96 h. Arrows indicate transcriptionally up- or down-regulated genes. (B) qRT-PCR analysis to quantify transcriptional expression of the penicillin biosynthesis genes in Δ *MAT1-1-1* strains EK5 and EK6 when grown as liquid shaking or surface cultures. Values are mean \log_2 -transformed average expression ratios of at least three biological replicates from two independently derived deletion strains (mean Δ *MAT1-1-1* EK5/EK6 $n \geq 3$) relative to the Δ Pcku70 parental strain.

balance between sexual and asexual reproduction in response to different environmental conditions (46).

Materials and Methods

Strains and Growth Conditions. Details of bacterial and fungal strains investigated in this study are summarized in *SI Materials and Methods* and *Table S3*. Maintenance and growth conditions were as described (47, 48). Growth conditions for *P. chrysogenum* are detailed in *SI Materials and Methods*. DNA-mediated transformation of *P. chrysogenum* to construct gene deletion and overexpression strains and further rescue of deletion strains (Fig. S2) were done in principal as described recently (49). Detailed information on strain constructions and functional analysis is provided in *SI Materials and Methods* and *Tables S4* and *S5*.

Light and Scanning Electron Microscopy. Details about specimen preparation are provided in *SI Materials and Methods*.

In vitro recombinant techniques, sequence analysis, and penicillin quantifications are detailed in *SI Materials and Methods*.

Mating and Analysis of Recombinant Ascospore Lines. Strains of opposite mating type were inoculated onto either oatmeal agar medium (OA) (Pinhead Oatmeal; Odlums Group), OA (U.K.) (Traditional Rolled Oats; Quaker Oats),

Köllnflocken (Kölln) medium, or Schmelzflocken (Schmelz) medium (Peter Kölln KGaA) (in each case 40 g/L), with or without addition of sildenafil citrate (Sil) (100 μ M), vardenafil citrate (Var) (100 μ M), or biotin (6.4 μ g/L) after autoclaving. A 1×10^7 spore suspension of each isolate was prepared, and 20 μ L of each suspension was inoculated as previously described (20). The plates were sealed with Parafilm and incubated at 15, 18, 20, or 27 °C in the dark. Further details for examination of crosses are provided in *SI Materials and Methods*.

Interaction Studies. The interaction of pheromones and pheromone receptors from *P. chrysogenum* was studied using the heterologous yeast system that was described previously (32) and is detailed further in *SI Materials and Methods*.

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